

Please type a plus (+) sign inside this box ☐

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	2880 - 028
First Inventor or Application Identifier:	Christoph K. Hitzengerger
Title	An Efficient Optical Coherence Tomography (OCT) System and Method for Rapid Imaging in Three Dimensions;
Express Mail Label No.	EV238371196 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 29]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC) 113 [Total Sheets 9]
4. ☒ Oath or Declaration [Total Pages 1] **unexecuted**
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other: _____

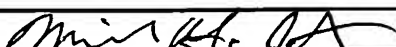
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____
Prior application information: Examiner _____ Group/Art Unit _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below
(Insert Customer No. or Attach bar code label here)

NAME	ATTN: Michael H. Jester				
	A PROFESSIONAL LAW CORPORATION				
ADDRESS	505D Grand Caribe Causeway				
CITY	CORONADO	STATE	CALIFORNIA	ZIP CODE	92118
COUNTRY	USA	TELEPHONE	(619) 628 - 4598	FAX	(619) 628 - 4796

Name (Print/Type)	Michael H. Jester	Registration No. (Attorney/Agent)	28,022
Signature		Date	12-31-03

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12

See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT \$ 65.00**METHOD OF PAYMENT (check one)**1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:Deposit Account Number: 50 0626Deposit Account Name: Michael H. Jester

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)

2. ☒ Payment Enclosed:☒ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Code	Small Entity Code	Fee Description	Fee Paid	
1001	750	2001 375	Utility filing fee	385.00
1002	330	2002 165	Design filing fee	
1003	520	2003 260	Plant filing fee	
1004	750	2004 375	Reissue filing fee	
1005	160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$)	

2. EXTRA CLAIM FEES

Claims below	Fee Paid
Total Claims	- 20** = <u> </u> x <u> </u> = <u>90.00</u>
Independent Claims	- 3** = <u> </u> x <u> </u> = <u>86.00</u>
Multiple Dependent Claims	= <u> </u> x <u> </u> = <u> </u>

** or number previously paid, if greater; For Reissues, see below

Large Entity Code	Small Entity Code	Fee Description
1202	18	2202 9
1201	84	2201 42
1203	280	2203 140
1204	84	2204 42
1205	18	2205 9

SUBTOTAL (2) (\$176.00)**Complete If Known**

Application Number	TO BE ASSIGNED
Filing Date	December 31, 2003
First Named Inventor	Christoph K. Hitzenberger
Examiner Name	TO BE ASSIGNED
Group / Art Unit	TO BE ASSIGNED
Attorney Docket No.	2880 - 28

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
1051	130	2051 65	Surcharge - late filing fee or oath
1052	50	2052 25	Surcharge - late provisional filing or cover sheet
1053	130	2053 130	Non-English specification
1812	2,520	147 2,520	For filing a request for reexamination
1804	920*	1804 920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805 1,840*	Requesting publication of SIR after Examiner action
1251	110	2251 55	Extension for response within first month
1252	410	2252 205	Extension for response within second month
1253	930	2253 465	Extension for response within third month
1254	1,450	2254 725	Extension for response within fourth month
1255	1,970	2255 985	Extension for response within fifth month
1401	320	2401 160	Notice of Appeal
1402	320	2402 160	Filing a brief in support of an appeal
1403	280	2403 140	Request for oral hearing
1451	1,510	1451 1,510	Petition to institute a public use proceeding
1452	110	2452 55	Petition to revive unavoidably abandoned application
1453	1,300	2453 650	Petition to revive unintentionally abandoned application
1501	1,300	2501 650	Utility issue fee (or reissue)
1502	470	2502 235	Design issue fee
1503	630	2503 315	Plant issue fee
1460	130	1460 130	Petitions to the Commissioner
1807	50	1807 50	Petitions related to provisional applications
1806	180	1806 180	Submission of Information Disclosure Stmt
8021	40	8021 40	Recording each patent assignment per property (times number of properties)
1809	750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))
1810	750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) (\$)

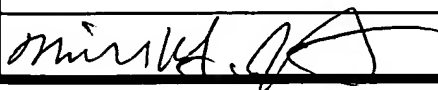
*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name

Michael H. Jester

Signature



Date

12/31/03

COMPLETE (if applicable)

Reg. Number

28,022

Deposit Account User ID

50 0626

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)	
)	
Christoph K. Hitzengerger)	Examiner: (To be Assigned)
)	
Serial No.: To be Assigned)	Group Art Unit: (To be Assigned)
)	
Filed: Herewith)	
)	
For: <i>An Efficient Optical Coherence</i>)	
<i>Tomography (OCT) System</i>)	
<i>and Method for Rapid Imaging</i>)	
<i>in Three Dimensions</i>)	

CERTIFICATE OF MAILING UNDER 37 CFR §1.10

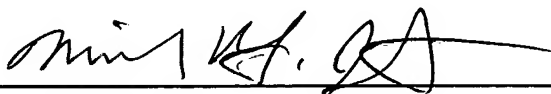
BOX PATENT APPLICATIONS
Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

EXPRESS MAIL RECEIPT NO. EV238371196 US

I hereby certify that the enclosed patent application and support documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below, and are addressed to BOX PATENT APPLICATION, Honorable Commissioner for Patents, P.O. Box 1450, Alexandria, Va. 22313-1450.

December 31, 2003
(Date of Deposit)

By:



Michael H. Jester
Reg. No. 28,022